INTERNET FORM NLRB-501

### UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD **CHARGE AGAINST EMPLOYER**

DO NOT WRITE IN THIS SPACE		
Case 03-CA-288267	Date Filed 12/30/2021	

#### INSTRUCTIONS:

1 LMDI (VED M	e alleged unfair labor practice occurred or is occurrin	9.	
a. Name of Employer	GAINST WHOM CHARGE IS BROUGHT	b. Tel. No.	
		D. Tel. No. (716) 857-3514	
General Mills Buffalo Flour Mill		- Call Na	
		c. Cell No.	
		f. Fax No.	
d. Address (Street, city, state, and ZIP code)	e. Employer Representative	I. Fax No.	
a. Address (Street, only, state, and 211 Sode)		g. e-Mail	
54 South Michigan St.	Lauren Cameron	lauren.cameron@genmills.com	
NY Buffalo 14203	HR Manager	lauren.cameron@genmiiis.com	
		h. Number of workers employed 66	
i. Type of Establishment (factory, mine, wholesaler, etc.)	j. Identify principal product or service		
Food Processing	Flour		
k. The above-named employer has engaged in and is engaging	in unfair labor practices within the meaning of sect	ion 8(a) subsections (1) and (list	
subsections) 5	of the National Labo	or Relations Act, and these unfair labor	
practices are practices affecting commerce within the meanin within the meaning of the Act and the Postal Reorganization A		air practices affecting commerce	
2. Basis of the Charge (set forth a clear and concise statement	of the facts constituting the alleged unfair labor pra	actices)	
2. Dasis of the charge (see forth a clear and concise statement	or the facts constituting the alleged arrial fabor pro	iciocoj	
See additional page			
occ additional page			
3 Full name of party filing charge (if labor organization, give full	I name_including local name and number)		
3. Full name of party filing charge (if labor organization, give full			
(b) (6), (b) (7)(C) Title:(b) (6	6), (b) (7)(C)		
(b) (6), (b) (7)(C) Title: (b) (6) Bakery, Confectionery, Tobacco Workers and Grain Millers Inter	6), (b) (7)(C) mational Union, Local 36G	4b. Tel. No.	
(b) (6), (b) (7)(C) Title:(b) (6	6), (b) (7)(C) mational Union, Local 36G	4b. Tel. No. (716) 852-3663	
(b) (6), (b) (7)(C)  Bakery, Confectionery, Tobacco Workers and Grain Millers Intel  4a. Address (Street and number, city, state, and ZIP code)	6), (b) (7)(C) mational Union, Local 36G	(716) 852-3663 4c. Cell No	
(b) (6), (b) (7)(C)  Bakery, Confectionery, Tobacco Workers and Grain Millers Inter  4a. Address (Street and number, city, state, and ZIP code)  3218 Lake Shore Rd. Suite 202	6), (b) (7)(C) mational Union, Local 36G	(716) 852-3663 4c. Cell No. (b) (6), (b) (7)(C)	
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WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

# **Basis of the Charge**

### 8(a)(5)

Within the previous six months, the Employer failed and refused to recognize the union as the collective bargaining representative of its employees.

## 8(a)(5)

Within the previous six months, the Employer failed and refused to bargain in good faith with the union as the collective bargaining representative of its employees.



# UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD



REGION 3 130 S Elmwood Ave Ste 630 Buffalo, NY 14202-2465 Agency Website: www.nlrb.gov Telephone: (716)551-4931 Fax: (716)551-4972 Download NLRB Mobile App

December 30, 2021

# (b) (6), (b) (7)(C)

Bakery, Confectionery, Tobacco Workers and Grain Millers International Union, Local 36G 3218 Lake Shore Rd.
Suite 202
Buffalo, NY 14219

Re: General Mills Buffalo Flour Mill Case 03-CA-288267

Dear (b) (6), (b) (7)(C)

The charge that you filed in this case on December 30, 2021 has been docketed as case number 03-CA-288267. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Examiner NEALE K. SUTCLIFF whose telephone number is (314)449-7489. If this Board agent is not available, you may contact Supervisory Field Attorney Gregory Lehmann whose telephone number is (518)419-6254.

**Right to Representation:** You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you

fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence</u>: All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

NANCY WILSON

**Acting Regional Director** 

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# UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD



REGION 3 130 S Elmwood Ave Ste 630 Buffalo, NY 14202-2465 Agency Website: www.nlrb.gov Telephone: (716)551-4931 Fax: (716)551-4972 Download NLRB Mobile App

December 30, 2021

Lauren Cameron, HR Manager General Mills Buffalo Flour Mill 54 South Michigan St. Buffalo, NY 14203

Re: General Mills Buffalo Flour Mill

Case 03-CA-288267

Dear Ms. Cameron:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Examiner NEALE K. SUTCLIFF whose telephone number is (314)449-7489. If this Board agent is not available, you may contact Supervisory Field Attorney Gregory Lehmann whose telephone number is (518)419-6254.

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If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board

agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence:</u> All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

NANCY WILSON Acting Regional Director

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### Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

	FORM NLRB-5081 NATIONAL LABOR RELATIONS BOARD								
	QUESTIONNAIRE ON COMMERCE INFORMATION								
Please read carefully, answer all applicable items, and return to the NLRB Office. If additional space is required, please add a page and identify item number.									
_	SE NAME		,		NUMBER				
				03-CA	-288267				
1. 1	EXACT LEGAL TITLE OF ENTITY (As filed w	vith State and/or stated in lega	l documents forming entity)						
2.	TYPE OF ENTITY								
[]	[ ] CORPORATION [ ] LLC [ ] LLP [ ] PARTNERSHIP [ ] SOLE PROPRIETORSHIP [ ] OTHER (Specify )								
3. ]	F A CORPORATION or LLC								
	TATE OF INCORPORATION	B. NAME, ADDRESS, AN	O RELATIONSHIP (e.g. parent, sul	bsidiary) C	OF ALL RELATED EN	NTITIES			
(	OR FORMATION								
4.	IF AN LLC OR ANY TYPE OF PARTNERSHI	P, FULL NAME AND ADDR	ESS OF ALL MEMBERS OR PA	ARTNERS	S				
=	IF A SOLE PROPRIETORSHIP, FULL NAME	AND ADDDESS OF BRODE	TETOD						
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7A.	PRINCIPAL LOCATION:	7B. BRAN	CH LOCATIONS:						
0	NATIONAL OF BEODIES BRECEIVES A FAMILY	VED							
δ.	NUMBER OF PEOPLE PRESENTLY EMPLO	YED							
	A TOTAL	D ATTEME ADDRESS DE	OLUED BURNING MARKED						
	A. TOTAL:		OLVED IN THIS MATTER:		AD CEVE ATTER	,			
9.	A. TOTAL:  DURING THE MOST RECENT (Check the app			SCAL YE		)   NO			
	DURING THE MOST RECENT (Check the app	ropriate box): [ ] CALENDA	R []12 MONTHS or []FIS		AR (FYDATESYES	) NO			
A.	Did you provide services valued in excess of \$50,0	ropriate box): [ ] CALENDA	R []12 MONTHS or []FIS e your State? If no, indicate actual	value.		NO NO			
A.	Did you provide services valued in excess of \$50,0  \$	ropriate box): [ ] CALENDA  Output  Ou	R [] 12 MONTHS or [] FIS e your State? If no, indicate actual c customers in your State who purch	value. nased		NO NO			
A.	Did you provide services valued in excess of \$50,0\$  If you answered no to 9A, did you provide service goods valued in excess of \$50,000 from directly out	ropriate box): [ ] CALENDA  Output  Ou	R [] 12 MONTHS or [] FIS e your State? If no, indicate actual c customers in your State who purch	value. nased		NO NO			
A. B.	Did you provide services valued in excess of \$50,0  \$	ropriate box): [ ] CALENDA  Output  Ou	e your State? If no, indicate actual customers in your State who purche the value of any such services you	value.		NO NO			
A. B.	Did you provide services valued in excess of \$50,0 \$	propriate box): [ ] CALENDA  Output to customers outside  s valued in excess of \$50,000 to  tistide your State? If no, indicate  services valued in excess of \$5	e your State? If no, indicate actual customers in your State who purche the value of any such services you 0,000 to public utilities, transit systems.	value.		NO NO			
A. B.	DURING THE MOST RECENT (Check the app  Did you provide services valued in excess of \$50,0  \$	propriate box): [ ] CALENDA  Output Control of the	e your State? If no, indicate actual o customers in your State who purche the value of any such services you 0,000 to public utilities, transit systematical institutions, or retail control of the services where the value of the value of the services where the value of the valu	value.  nased  ems, ncems?		NO NO			
A. B.	Did you provide services valued in excess of \$50,0 \$	propriate box): [ ] CALENDA  Output Control of the	e your State? If no, indicate actual o customers in your State who purche the value of any such services you 0,000 to public utilities, transit systematical institutions, or retail control of the public utilities.	value.  nased  ems, ncems?		NO NO			
A. B. C.	Did you provide services valued in excess of \$50,0 \$	propriate box): [ ] CALENDA  Outline of the control	e your State? If no, indicate actual customers in your State who purche the value of any such services you 0,000 to public utilities, transit system actual institutions, or retail corde your State? If less than \$50,000,	value.  nased  ems, ncems?		NO NO			
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A. B. C.	Did you provide services valued in excess of \$50,0 \$	ropriate box): [ ] CALENDA  000 directly to customers outside s valued in excess of \$50,000 to straide your State? If no, indicate services valued in excess of \$5 tations, commercial buildings, a ectly to customers located outside d in excess of \$50,000 directly from directly outside your State	e your State? If no, indicate actual customers in your State who purche the value of any such services you 0,000 to public utilities, transit systematical de your State? If less than \$50,000, to customers located inside your State? If less than \$50,000, indicate an experiment of the point o	value.  nased  ems, ncems?  indicate  ate who nount.		NO NO			
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A. B. C. D. F. G.	Did you provide services valued in excess of \$50,0 \$  If you answered no to 9A, did you provide service goods valued in excess of \$50,000 from directly ou provided. \$	propriate box): [ ] CALENDA  COO directly to customers outside  s valued in excess of \$50,000 to  statide your State? If no, indicate  services valued in excess of \$5  tations, commercial buildings,  ectly to customers located outside  d in excess of \$50,000 directly  from directly outside your State  cess of \$50,000 from directly of  cess of \$50,000 from enterprise  dicate amount. \$  Services (Check the largest an  [ ] \$1,000,000 or more If les  nths? If yes, specify date:	e your State? If no, indicate actual o customers in your State who purche the value of any such services you 0,000 to public utilities, transit systeducational institutions, or retail corde your State? If less than \$50,000, to customers located inside your State? If less than \$50,000, indicate an attside your State? If less than \$50,000, indicate and the your State? If less than \$50,000, indicate and the your State? If less than \$50,000, indicate and the your State? If less than \$50,000, indicate and the your State? If less than \$50,000, indicate amount.	ems, neems? indicate ate who nount.	YES				
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A. B. C. D. F. G. H. 10.	Did you provide services valued in excess of \$50,0 \$  If you answered no to 9A, did you provide service goods valued in excess of \$50,000 from directly ou provided. \$	propriate box): [ ] CALENDA  COO directly to customers outside s valued in excess of \$50,000 to static your State? If no, indicate services valued in excess of \$5 tations, commercial buildings, to ectly to customers located outside d in excess of \$50,000 directly from directly outside your State cess of \$50,000 from directly of ects of \$50,000 from enterprise indicate amount. \$	e your State? If no, indicate actual o customers in your State who purche the value of any such services you 0,000 to public utilities, transit systeducational institutions, or retail corde your State? If less than \$50,000, to customers located inside your State? If less than \$50,000, indicate an attside your State? If less than \$50,000, indicate an attside your State? If less than \$50,000, indicate and the goods directly frount) is than \$100,000, indicate amount.	value.  nased ems, ncems? indicate ate who nount.  000, om	YES				

#### 12. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE NAME AND TITLE (Type or Print) SIGNATURE

DATE E-MAIL ADDRESS PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing representation and/or unfair labor practice proceedings and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary. However, failure to supply the information may cause the NLRB to refuse to process any further a representation or unfair labor practice case, or may cause the NLRB to issue you a subpoena and seek enforcement of the subpoena in federal court.

## **UNITED STATES OF AMERICA**

## BEFORE THE NATIONAL LABOR RELATIONS BOARD

GENERAL MILLS BUFFALO FLOUR MILL  Charged Party  and  BAKERY, CONFECTIONERY, TOBACCO WORKERS AND GRAIN MILLERS INTERNATIONAL UNION, LOCAL 36G  Charging Party	Case 03-CA-288267				
AFFIDAVIT OF SERVICE OF CHARGE AGAINST EMPLOYER  I, the undersigned employee of the National Labor Relations Board, state under oath that on December 30, 2021, I served the above-entitled document(s) by post-paid regular mail upon the following persons, addressed to them at the following addresses:  Lauren Cameron, HR Manager General Mills Buffalo Flour Mill 54 South Michigan St. Buffalo, NY 14203					
December 30, 2021	LOUIS F. PORTO, Designated Agent of NLRB				
Date	Name /s/LOUIS F. PORTO				

Signature